P5, G2, D5 CF 8lr3874

By: Delegates Kelly, Fraser-Hidalgo, Krimm, Barve, and Robinson

Introduced and read first time: February 9, 2018 Assigned to: Rules and Executive Nominations

## A BILL ENTITLED

## 1 AN ACT concerning

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## Legislative Branch of State Government - Sexual Harassment

FOR the purpose of authorizing any entity to file with the State Ethics Commission a written complaint alleging that a regulated lobbyist has sexually harassed a member of the General Assembly or a certain employee; authorizing any entity to file with the State Ethics Commission a written complaint alleging that a member of the General Assembly has sexually harassed a regulated lobbyist; requiring the Joint Committee on Legislative Ethics to refer certain complaints to an outside and independent investigator; requiring the investigator to submit its findings and recommendations to the Committee for certain further proceedings; requiring the Committee to develop a certain code of conduct for the General Assembly; requiring the Office of the Executive Director in the Department of Legislative Services to maintain certain records regarding certain individuals who take sexual harassment training; requiring the Office to maintain the records for at least a certain period of time; requiring the Commission on Civil Rights to conduct a certain survey of members and employees of the General Assembly on or before certain dates; prohibiting the survey from requesting certain information or being conducted in a certain manner; requiring the Commission on Civil Rights to submit a certain report to the President of the Senate, the Speaker of the House of Delegates, and the Joint Committee on Legislative Ethics; making a conforming change; and generally relating to sexual harassment in the Legislative Branch of State government.

- 22 BY repealing and reenacting, without amendments,
- 23 Article General Provisions
- 24 Section 5–101(a), (k), (u), and (hh)
- 25 Annotated Code of Maryland
- 26 (2014 Volume and 2017 Supplement)
- 27 BY repealing and reenacting, with amendments,
- 28 Article General Provisions
- 29 Section 5–401 and 5–518

EXPLANATION: CAPITALS INDICATE MATTER ADDED TO EXISTING LAW.

[Brackets] indicate matter deleted from existing law.



$\frac{1}{2}$	Annotated Code of Maryland (2014 Volume and 2017 Supplement)			
3 4 5 6 7	BY adding to Article – General Provisions Section 5–518.1 Annotated Code of Maryland (2014 Volume and 2017 Supplement)			
8 9 10 11	BY repealing and reenacting, without amendments, Article – State Government Section 2–701, 2–1201, 2–1211, and 20–101(a) and (b) Annotated Code of Maryland (2014 Replacement Volume and 2017 Supplement)			
13 14 15 16	BY repealing and reenacting, with amendments, Article – State Government Section 2–706 and 2–1215 Annotated Code of Maryland (2014 Replacement Volume and 2017 Supplement)			
18 19 20 21 22	BY adding to Article – State Government Section 20–207.1 Annotated Code of Maryland (2014 Replacement Volume and 2017 Supplement)			
23 24	SECTION 1. BE IT ENACTED BY THE GENERAL ASSEMBLY OF MARYLAND, That the Laws of Maryland read as follows:			
25	Article – General Provisions			
26	5–101.			
27	(a) In this title the following words have the meanings indicated unless:			
28	(1) the context clearly requires a different meaning; or			
29	(2) a different definition is adopted for a particular provision.			
30	(k) "Ethics Commission" means the State Ethics Commission.			
31	(u) "Joint Ethics Committee" means the Joint Committee on Legislative Ethics.			
32 33	(hh) "Regulated lobbyist" means an entity that is required to register with the Ethics Commission under § 5–702(a) of this title.			

1 5-401.2 Any entity may file with the Ethics Commission a written complaint (a) (1) 3 alleging: (I)a violation of this title: 4 5 (II)THAT A REGULATED LOBBYIST HAS SEXUALLY HARASSED A 6 MEMBER OF THE GENERAL ASSEMBLY OR AN EMPLOYEE OF THE GENERAL 7 ASSEMBLY OR THE DEPARTMENT OF LEGISLATIVE SERVICES; OR 8 (III) THAT A MEMBER OF THE GENERAL ASSEMBLY HAS 9 SEXUALLY HARASSED A REGULATED LOBBYIST. 10 (2)A complaint filed under this subsection shall be: 11 (i) signed; and 12 (ii) made under oath. 13 The Ethics Commission on its own motion may issue a complaint alleging a violation of this title. 14 The Ethics Commission shall promptly transmit a copy of the complaint to the 15 (c) 16 respondent. 17 5-518. 18 [After] EXCEPT AS PROVIDED IN § 5–518.1 OF THIS SUBTITLE, AFTER the filing or preparation of a complaint under § 5-516 of this subtitle, the Joint Ethics 19 20 Committee shall review the complaint and proceed in accordance with § 5-519 of this 21subtitle unless, after examining the complaint and the issues raised by it, the Committee 22 finds that further proceedings are not justified because: 23 (1) the complaint is frivolous; 24the complaint does not allege actions on the part of the accused 25legislator that provide reason to believe that a violation may have occurred; 26(3)the matters alleged are not within the jurisdiction of the Joint Ethics 27 Committee: 28 the violations alleged were inadvertent, technical, or minor, or have 29 been cured, and, after consideration of all of the circumstances then known, further

proceedings would not serve the purposes of this subtitle; or

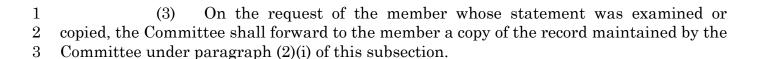
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- 1 for other reasons, after consideration of all the circumstances, further (5)2 proceedings would not serve the purposes of this subtitle. 3 (b) If a finding is made under subsection (a) of this section, the Joint Ethics Committee shall: 4 5 submit a report of its conclusions to the presiding officer or to the 6 membership of the branch of the legislature of which the accused legislator is a member, 7 and the proceedings shall be terminated;
- 8 (ii) provide advice or guidance to the accused legislator; or
- 9 (iii) provide the accused legislator with an opportunity to cure any 10 minor violation of ethical standards.
- 11 (2) (i) Subject to § 5–517 of this subtitle, notice of the Joint Ethics 12 Committee's action shall be provided to the accused legislator and to any person who filed 13 the complaint.
- 14 (ii) On request, the accused legislator may see the complaint and the 15 report.
- 16 (c) If no finding is made under subsection (a) of this section, the Joint Ethics 17 Committee shall prepare an allegation summary, based on its examination under 18 subsection (a) of this section, setting forth the alleged facts and the issues then known that 19 merit further proceedings.
- 20 (d) After review of a complaint, the Joint Ethics Committee shall provide a 21 statement of its findings to the accused legislator.
- 22 **5–518.1.**
- (A) THE JOINT ETHICS COMMITTEE SHALL REFER A COMPLAINT ALLEGING
  THAT A MEMBER OF THE GENERAL ASSEMBLY HAS VIOLATED THE CODE OF
  CONDUCT DEVELOPED UNDER § 2–706(A)(8) OF THE STATE GOVERNMENT ARTICLE
  TO AN OUTSIDE AND INDEPENDENT INVESTIGATOR.
- (B) IF A COMPLAINT IS REFERRED TO AN OUTSIDE AND INDEPENDENT INVESTIGATOR UNDER SUBSECTION (A) OF THIS SECTION, THE INVESTIGATOR SHALL SUBMIT ITS FINDINGS AND RECOMMENDATIONS TO THE JOINT ETHICS COMMITTEE FOR FURTHER PROCEEDINGS IN ACCORDANCE WITH THIS SUBTITLE.

## 31 Article – State Government

32 2-701.

1	In this subtitle, "Committee" means the Joint Committee on Legislative Ethics.			
2	2–706.			
3	(a) T	The Co	mmittee shall:	
4	(	(1)	perform all duties assigned to it by law or by legislative rules;	
5 6	,		from time to time, recommend to the presiding officers any changes in ne rules of legislative ethics;	
7 8 9	(3) on request of a member of the General Assembly, issue an advisory opinion regarding the legislative ethics of an action taken or contemplated to be taken by the member;			
10	(	(4)	on its own motion, issue advisory opinions as it deems necessary;	
11 12	,		at the request of the President or the Speaker, make recommendations referred to the Committee;	
13 14	`	` '	as it deems necessary, issue guidelines and establish procedures for the ne rules of legislative ethics; [and]	
15	(	(7)	naintain public records as the rules require; AND	
16 17	(8) DEVELOP A CODE OF CONDUCT FOR THE GENERAL ASSEMBLE THAT INCLUDES:			
18			I) A CLEAR DEFINITION OF SEXUAL HARASSMENT;	
19			II) A CLEAR DEFINITION OF RETALIATION; AND	
20 21	INDIVIDUAL		III) A LIST OF ACTIONS THAT WILL BE TAKEN AGAINST AN TOLATES THE CODE OF CONDUCT.	
22 23 24	General Asser	mbly u	The Committee shall maintain the statements filed by members of the nder Title 15, Subtitle 5 of this article and, during normal office hours, a available to the public for examination and copying.	
25	(	(2)	The Committee shall maintain a record of:	
26 27	copies a state		the name and home address of each individual who examines or dled with the Committee by a member of the General Assembly; and	
28 29	copied.		ii) the name of the member whose statement was examined or	



- 4 2–1201.
- 5 (a) In this subtitle the following words have the meanings indicated.
- 6 (b) "Department" means the Department of Legislative Services.
- 7 (c) "Executive Director" means the Executive Director of the Department.
- 8 2–1211.
- 9 As used in this Part III, "Office" means the Office of the Executive Director.
- 10 2-1215.
- 11 (a) The Office shall manage all personnel activities of the Department and 12 generally carry out the duties set forth in § 2–1205 of this subtitle.
- 13 (b) The Office shall manage the personnel activities of the General Assembly as 14 assigned by the President and the Speaker.
- 15 (C) (1) THE OFFICE SHALL MAINTAIN ELECTRONIC RECORDS THAT 16 INCLUDE:
- 17 (I) THE NAME OF EACH MEMBER OF THE GENERAL ASSEMBLY,
- 18 EACH EMPLOYEE OF THE GENERAL ASSEMBLY, AND EACH EMPLOYEE OF THE
- 19 DEPARTMENT WHO TAKES WORKPLACE HARASSMENT TRAINING:
- 20 (II) THE DATE THE WORKPLACE HARASSMENT TRAINING WAS
- 21 COMPLETED; AND
- 22 (III) THE NAME OF THE PERSON WHO CONDUCTED THE
- 23 TRAINING.
- 24 (2) THE OFFICE SHALL MAINTAIN THE RECORDS REQUIRED UNDER
- 25 PARAGRAPH (1) OF THIS SUBSECTION FOR AT LEAST 5 YEARS AFTER THE MEMBER
- 26 OR EMPLOYEE TAKES WORKPLACE HARASSMENT TRAINING.
- 27 20–101.
- 28 (a) In Subtitles 1 through 11 of this title the following words have the meanings

- 1 indicated.
- 2 (b) "Commission" means the Commission on Civil Rights.
- 3 **20–207.1.**
- 4 (A) ON OR BEFORE JUNE 1, 2019, AND ON OR BEFORE JUNE 1 EVERY 2
- 5 YEARS THEREAFTER, SUBJECT TO SUBSECTION (B) OF THIS SECTION, THE
- 6 COMMISSION SHALL CONDUCT A SURVEY OF MEMBERS AND EMPLOYEES OF THE
- 7 GENERAL ASSEMBLY TO DETERMINE:
- 8 (1) THE SCOPE OF DISCRIMINATION AND HARASSMENT IN THE
- 9 LEGISLATIVE BRANCH OF STATE GOVERNMENT;
- 10 (2) WHETHER DISCRIMINATION AND HARASSMENT PREVENTION AND
- 11 REFORM EFFORTS ARE REDUCING THE PREVALENCE OF DISCRIMINATION AND
- 12 HARASSMENT IN THE LEGISLATIVE BRANCH OF STATE GOVERNMENT; AND
- 13 (3) WHETHER THE COMPLAINT AND REPORTING PROCESS
- 14 REGARDING INSTANCES OF DISCRIMINATION AND HARASSMENT IN THE
- 15 LEGISLATIVE BRANCH OF STATE GOVERNMENT IS SUFFICIENT.
- 16 (B) THE SURVEY CONDUCTED UNDER SUBSECTION (A) OF THIS SECTION
- 17 MAY NOT REQUEST ANY INFORMATION OR BE CONDUCTED BY ANY METHOD THAT
- 18 WOULD MAKE THE RESPONDENT OR THE RESPONDENT'S OFFICE IDENTIFIABLE.
- 19 (C) THE COMMISSION SHALL SUBMIT TO THE PRESIDENT OF THE SENATE,
- 20 THE SPEAKER OF THE HOUSE OF DELEGATES, AND THE JOINT COMMITTEE ON
- 21 LEGISLATIVE ETHICS A REPORT THAT SUMMARIZES THE RESULTS OF THE SURVEY
- 22 CONDUCTED UNDER SUBSECTION (A) OF THIS SECTION.
- SECTION 2. AND BE IT FURTHER ENACTED, That this Act shall take effect
- 24 October 1, 2018.